



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03500.014323.1

First Named Inventor or Application Identifier

NOBUHIRO ITO

Express Mail Label No.

16018 U.S. PTO  
10/705880

111303

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification *Total Pages* **57**

4. ☒ Drawing(s) (35 USC 113) *Total Sheets* **14**

5. ☒ Oath or Declaration *Total Pages* **1**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other: Submission of Corrected Formal Drawings

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:



Continuation



Divisional



Continuation-in-part (CIP) of prior application No. 09/699,394

Prior application information:

Examiner K. QUARTERMAN

Group/Art Unit: 2879

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

05514

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	1-20 =	0	X \$ 18.00 =	\$0
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3 =	0	X \$ 86.00 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$290.00 =	\$0
				BASIC FEE (37 CFR 1.16(a))	\$770.00
			Total of above Calculations =		\$770.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				0
	TOTAL =				\$770.00

19. Small entity status

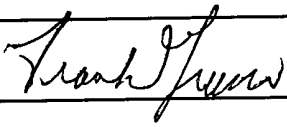
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 770.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	FRANK A. DeLUCIA, JR.
SIGNATURE	 (REG. NO. 42,476)
DATE	November 12, 2003

03500.014323.1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Kevin Quarterman
NOBUHIRO ITO ET AL.	)	
	:	Group Art Unit: 2879
Application No.: Division	)	
of U.S. Patent Appln.	:	
No. 09/699,394	)	
	:	
Filed: Herewith	)	
	:	
For: ELECTRON BEAM EMITTING	)	
APPARATUS AND IMAGE-	:	
FORMING APPARATUS	)	November 12, 2003

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF CORRECTED FORMAL DRAWINGS  
AND REQUEST FOR ENTRY OF FIG. 16

Sir:

Attached are duplicate copies of corrected Figs. 2, 11, 12, 13, 14, and 15,  
which should be substituted for the corresponding figures of the concurrently filed patent  
application. The corrected drawings incorporate the drawing changes made in parent U.S.  
Application No. 09/699,394.

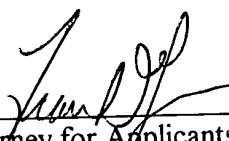
For example:

attached Fig. 2 incorporates the inserted language "10 a parallel and an outwardly direction to the first substrate" and adjacent arrows pointing outwardly;  
attached Figs. 11-13 include the inserted label "PRIOR ART";  
attached Fig. 14 does not include the solid element included in the original Fig. 14; and  
attached Fig. 15 is a cleaner version of the original Fig. 15.  
Moreover, it is proposed to add the attached Fig. 16, as in the parent application.

Entry of the attached drawings into the record is respectfully requested.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. 42426

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